

PROPERTIES OF GENERALISED JUXTAPOLYNOMIALS

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ABSTRACT

Given $F(z), f_1(z), f_2(z), \dots, f_n(z)$ defined on a finite point set E , and given B — the set of generalised polynomials $\sum_{k=1}^n a_k f_k(z)$ — the definition of a juxtapolynomial is extended in the following manner: for a fixed $\lambda (0 < \lambda \leq 1)$, $f(z) \in B$ is called a generalised λ -weak juxtapolynomial to $F(z)$ on E if and only if there exists no $g(z) \in B$ for which $g(z) = F(z)$ whenever $f(z) = F(z)$ and $|g(z) - F(z)| < \lambda |f(z) - F(z)|$ whenever $f(z) \neq F(z)$. The properties of such $f(z)$ are investigated with particular attention given to the real case.

1. Preliminaries. Throughout this paper, we assume E is a finite point set in the complex domain, consisting of at least $n+1$ points, $F(z), f_1(z), \dots, f_n(z)$ are given complex functions defined on E , and $\sum_{k=1}^n a_k f_k(z) = 0$ on any n points of $E \Leftrightarrow a_1 = a_2 = \dots = a_n = 0$, this condition which we denote by Γ , is obviously fulfilled by the functions $f_k(z) \equiv z^{n-k}$ $k = 1, 2, \dots, n$. Let B be the class of all functions of the form $\sum_{k=1}^n a_k f_k(z)$ where a_1, a_2, \dots, a_n are constants. For any fixed λ , $0 < \lambda \leq 1$, and any set $E' \subseteq E$, we define the following classes of functions:

$f(z) \in J_1(\lambda, E') \Leftrightarrow f(z) \in B$ and there is no $g(z) \in B$ which for every $z \in E'$ satisfies the inequality $|g(z) - F(z)| \overset{*}{<} \lambda |f(z) - F(z)|$ (the symbol $\overset{*}{<}$ means $g(z) = F(z)$ when $f(z) = F(z)$ and $|g(z) - F(z)| < \lambda |f(z) - F(z)|$ when $f(z) \neq F(z)$).

$f(z) \in J_2(\lambda, E') \Leftrightarrow f(z) \in B$ and there is no $g(z) \in B$ which for every $z \in E'$ satisfies the inequality $|g(z) - F(z)| \leq \lambda |f(z) - F(z)|$. $J_1(\lambda, E')$ [$J_2(\lambda, E')$] is called the class of generalised λ -weak [λ -strong] juxtapolynomials to $F(z)$ on E' .

Let $J_3(\lambda, E') = J_1(\lambda, E') - J_2(\lambda, E')$.

2. When $f_k(z) \equiv z^{n-k}$ $k = 1, 2, \dots, n$, $J_1(1, E)$ is defined by T. S. Motzkin and J. L. Walsh as the class of *juxtapolynomials* of degree $n - 1$ over E , namely — “nearest” polynomials to $F(z)$ on E — and if in addition $F(z) \equiv z^n$: $\{z^n - f(z); f(z) \in J_1(1, E)\}$ is known as the class of *infrapolynomials* of degree

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n over E . The importance of the class $J_1(1, E)$ is in the fact that only for such functions $f(z)$, a minimum deviation of a monotone norm is obtained: $M = M(f(z), F(z), E)$ is called a *monotone norm* if M is a positive function defined for $f(z) \in B$, which decreases when $f(z)$ is replaced by $g(z) \in B$ for which $|g(z) - F(z)| <^* |f(z) - F(z)|$ on E . Two well known examples of monotone norms are:

Tchebycheff norm: $M = \max \{|f(z) - F(z)|; z \in E\}$

Least pth power norm: $M = \sum_{z \in E} |f(z) - F(z)|^p$ where $p > 0$.

3. The basis of this work are papers [1], [2] by the late Prof. M. Fekete. By similar methods a generalization of his results is obtained, giving in the end the structure of a function $f(z)$ in $J_1(1, E)$ without the restriction $f(z) \neq F(z)$ throughout E . We shall also see (Theorems 1,4), that if $F(z) \notin B$ then $B = J_2(1, E) \cup_{\lambda < 1} J_3(\lambda, E)$, which is a union of disjoint sets; also if $f(z) \in J_k(\lambda, E)$ ($k = 1, 2$, or 3), then there exists a set $E' \subseteq E$ of $2n + 1$ points at most (and when $F(z), f_j(z)$ ($1 \leq j \leq n$), $f(z)$ are real E' contains $n + 1$ points exactly) such that $f(z) \in J_k(\lambda, E')$. When $F(z), f_j(z)$ ($1 \leq j \leq n$), and $f(z) \in B$ are real we find a representation for $f(z)$ in $J_k(\lambda, E)$ ($k = 2, 3$). Finally, some topological properties (connectedness, compactness) of $J_k(\lambda, E)$ ($k = 1, 2$) are discussed for the real case.

Some of the results are easily extended to the case where E is an infinite compact set, and $F(z), f_j(z)$ ($1 \leq j \leq n$) are continuous on E . Furthermore, E is taken in the complex domain merely for convenience, and all the results concerning B are in no way altered if E is assumed to be any abstract finite point set.

LEMMA 1. $J_3(1, E) = \emptyset$.

Proof. From the definitions $J_2(1, E) \subseteq J_1(1, E)$. $B \neq J_1(1, E)$, because due to condition Γ we may construct $f_0(z) \in B$ distinct from zero on E , and $cf_0(z) \notin J_1(1, E)$ for sufficiently large constants c . Then if $f(z) \in B - J_2(1, E)$, there exists $(f(z) \neq)g(z) \in B$ such that $|g(z) - F(z)| \leq |f(z) - F(z)|$ on E . Let $h(z) \in B$ be any function which assumes the values $g(z) - F(z)$ on $E_0 = \{z \in E; f(z) = g(z)\}$; such $h(z)$ exist due to condition Γ and the fact that E_0 contains less than n points (otherwise $g(z) \equiv f(z)$!). Let $g_\varepsilon(z) \equiv \frac{1}{2}g(z) + \frac{1}{2}f(z) - \varepsilon h(z)$ where $0 < \varepsilon < 1$ will be determined later. For any $z_0 \in E$ we have one of the following cases:

- (i) $z_0 \in E_0$. Then clearly $|g_\varepsilon(z_0) - F(z_0)| <^* |f(z_0) - F(z_0)|$.
- (ii) $|g(z_0) - F(z_0)| < |f(z_0) - F(z_0)|$. Then

$$|\frac{1}{2}g(z_0) + \frac{1}{2}f(z_0) - F(z_0)| \leq \frac{1}{2}|g(z_0) - F(z_0)| + \frac{1}{2}|f(z_0) - F(z_0)| < |f(z_0) - F(z_0)|,$$

and since E finite, there exists ε_1 ($0 < \varepsilon_1 < 1$) such that for every $0 < \varepsilon \leq \varepsilon_1$ and every z_0 as above $|g_\varepsilon(z_0) - F(z_0)| < |f(z_0) - F(z_0)|$.

(iii) $z_0 \notin E_0$ and $|g(z_0) - F(z_0)| = |f(z_0) - F(z_0)|$. Then

$$|\frac{1}{2}g(z_0) + \frac{1}{2}f(z_0) - F(z_0)| < \frac{1}{2}|g(z_0) - F(z_0)| + \frac{1}{2}|f(z_0) - F(z_0)| = |f(z_0) - F(z_0)|,$$

and here too there is ε_2 ($0 < \varepsilon_2 \leq \varepsilon_1$) such that for any z_0 as above

$$|g_{\varepsilon_2}(z_0) - F(z_0)| < |f(z_0) - F(z_0)|.$$

We proved that in all cases $|g_{\varepsilon_2}(z) - F(z)| <^* |f(z) - F(z)|$, that is $f(z) \notin J_1(1, E)$.

4. THEOREM 1. *If $F(z) \notin B$ then $B = J_2(1, E) \cup \bigcup_{\lambda < 1} J_3(\lambda, E)$.*

Proof. Let $f(z) \in B - J_1(1, E)$ and let $\lambda' = \text{Sup}\{\lambda; f(z) \in J_1(\lambda, E)\}$, then $\lambda' > 0$, since $\lambda' = 0$ implies that for every $\lambda \leq 1$, there is $g_\lambda(z) \in B$ such that $|g_\lambda(z) - F(z)| <^* \lambda |f(z) - F(z)|$ on E ; taking a sequence $\lambda_m \rightarrow 0$, we obtain a sequence $g_{\lambda_m}(z) \rightarrow F(z)$ on E , a suitable subsequence $g_{\lambda_{m_i}}(z)$ will converge to a limit function $g(z) \in B$; this is due to condition Γ , since if $M = \text{Max}\{|f(z) - F(z)| + |F(z)|; z \in E\}$, the set $g_{\lambda_m}(z)$ is uniformly bounded by M on E , and if $\mathbf{a}^{(m)} = (a_1^{(m)}, a_2^{(m)}, \dots, a_n^{(m)})$ is the coefficients' vector of $g_{\lambda_m}(z)$ then due to condition Γ for any set of distinct points $\{z_1, z_2, \dots, z_n\} \subseteq E$, the vectors $\mathbf{f}(z_i) = (\overline{f_1(z_i)}, \overline{f_2(z_i)}, \dots, \overline{f_n(z_i)})$ $i = 1, \dots, n$ form a basis to the n -dimensional complex vector space, and as the scalar product $g_{\lambda_m}(z_i) = (\mathbf{a}^{(m)}, \mathbf{f}(z_i))$ is uniformly bounded, it follows that the set $\{\mathbf{a}^{(m)}\}$ is uniformly bounded and therefore has a subsequence $\{\mathbf{a}^{(m_i)}\}$ which converges to a limit point $\mathbf{a}' = (a'_1, a'_2, \dots, a'_n)$, for which

$$F(z) = \lim_{i \rightarrow \infty} g_{\lambda_{m_i}}(z) = g(z) \left(\equiv \sum_{k=1}^n a'_k f_k(z) \right) \text{ for every } z \in E,$$

a contradiction!

$f(z) \in J_1(\lambda', E)$, since assuming otherwise, there exists $g(z) \in B$ with $|g(z) - F(z)| <^* \lambda' |f(z) - F(z)|$ on E , E being finite, the inequality holds for some λ , $0 < \lambda < \lambda'$, contrary to the definition of λ' . Assume $f(z) \in J_2(\lambda', E)$, then there is (contrary to the definition of λ' !) $\varepsilon > 0$, $\varepsilon + \lambda' < 1$, such that $f(z) \in J_1(\lambda' + \varepsilon, E)$, because otherwise for every $\varepsilon > 0$, $\varepsilon + \lambda' < 1$, exists $g_\varepsilon(z) \in B$ with $|g_\varepsilon(z) - F(z)| \leq (\lambda' + \varepsilon) |f(z) - F(z)|$ on E , and similarly as above taking a sequence $\varepsilon_m \rightarrow 0$ with $g_{\varepsilon_m}(z)$ converging to a limit function $g(z) \in B$ on E , we obtain the inequality $|g(z) - F(z)| \leq \lambda' |f(z) - F(z)|$ on E , impossible by our assumption. Hence $f(z) \notin J_2(\lambda', E)$. Note that the classes which compose B are all distinct.

We see now that $f(z) \in J_2(\lambda, E) \Leftrightarrow \lambda < \lambda'$. We shall show later how λ' may be calculated under certain conditions (Theorem 4). Lemmas 2, 3 are required in the proofs of some later results.

5. LEMMA 2. *Let $f(z) \in B$ and $0 < \lambda \leq 1$. $f(z) \in J_1(\lambda, E) \Leftrightarrow$ for no $h(z) \in B$ the inequality*

$$(1) \quad |F(z) - f(z) + h(z)| \overset{*}{<} \frac{\lambda |F(z) - f(z) - h(z)|}{1 + \sqrt{1 - \lambda^2}}$$

holds throughout E .

Proof. If $\lambda = 1$, and if for some $g(z) \in B$,

$$(2) \quad |F(z) - g(z)| \overset{*}{<} |F(z) - f(z)|$$

throughout E , then let $h(z) \equiv f(z) - g(z)$. If, for $z_0 \in E$, $f(z_0) = F(z_0)$ then $g(z_0) = F(z_0)$, hence $h(z_0) = 0$ which implies (1) for z_0 . If $f(z_0) \neq F(z_0)$, then

$$\begin{aligned} |F(z_0) - f(z_0) - h(z_0)| &= |F(z_0) - 2f(z_0) + g(z_0)| = |F(z_0) - f(z_0)| \left| 2 - \frac{F(z_0) - g(z_0)}{F(z_0) - f(z_0)} \right| \\ &\geq |F(z_0) - f(z_0)| \left(2 - \left| \frac{F(z_0) - g(z_0)}{F(z_0) - f(z_0)} \right| \right) > |F(z_0) - f(z_0)| > |F(z_0) - g(z_0)| \\ &= |F(z_0) - f(z_0) + h(z_0)|, \end{aligned}$$

thus (1) holds for every $z \in E$.

Conversely, (1) (with $\lambda = 1$) implies that when $F(z_0) \neq f(z_0)$, $\operatorname{Re} \left(\frac{h(z_0)}{f(z_0) - F(z_0)} \right) > 0$.

Let

$$a = \sup \left\{ \left| \frac{h(z)}{f(z) - F(z)} \right|^2 / 2 \operatorname{Re} \left(\frac{h(z)}{f(z) - F(z)} \right); z \in E, f(z) \neq F(z) \right\},$$

and put $g(z) \equiv f(z) - \frac{h(z)}{1+a}$, then inequality (2) easily follows. When, for $z_0 \in E$, $F(z_0) = f(z_0)$, then $h(z_0) = 0$, hence $g(z_0) = F(z_0)$, and again (2) is satisfied.

If $\lambda < 1$, let $h(z) \equiv \frac{1}{\sqrt{1-\lambda^2}}(f(z) - g(z))$ be the relation between $g(z) \in B$ and $h(z) \in B$. Obviously,

$$|F(z_0) - g(z_0)| \overset{*}{<} \lambda |F(z_0) - f(z_0)| \Leftrightarrow (1) \text{ holds for } z_0.$$

Note that Lemma 2 holds if $0 < \lambda < 1$ and we replace above " $J_1(\lambda, E)$ " with " $J_2(\lambda, E)$ ", and replace " $\overset{*}{<}$ " with " \leq ".

LEMMA 3. Let $f(z) \in B$, $E_0 = \{z \in E; f(z) = F(z)\}$. For every $E' \subseteq E - E_0$, define the set $R(E')$ in the Euclidean space ε^{2n} :

$$R(E') = \left\{ (r_1, s_1, r_2, s_2, \dots, r_n, s_n); r_i = \operatorname{Re} \left[\frac{f_i(z)}{f(z) - F(z)} \right], s_i = \operatorname{Im} \left[\frac{f_i(z)}{f(z) - F(z)} \right], 1 \leq i \leq n, z \in E' \right\}.$$

For any $a = (a_1, b_1, \dots, a_n, b_n) \in \varepsilon^{2n}$ let $\pi(a, 1) = \{x \in \varepsilon^{2n}; (x, a) > 0\}$, and for $0 < \mu < 1$ let

$$\pi(a, \mu) = \left\{ x = (x_1, y_1, \dots, x_n, y_n) \in \varepsilon^{2n}; \left| \sum_{k=1}^n (x_k + iy_k)(a_k - ib_k) - 1 \right| < \mu \right\}.$$

Given $0 < \lambda \leq 1$, $f(z) \in J_1(\lambda, E) \Leftrightarrow$ for every $a = (a_1, b_1, \dots, a_n, b_n) \in \varepsilon^{2n}$ which satisfies $\sum_{k=1}^n (a_k - ib_k)f_k(z) = 0$ on $E_0, R(E - E_0) \not\subseteq \pi(a, \lambda)$.

Proof. \Leftarrow : Suppose $f(z) \notin J_1(\lambda, E)$, by Lemma 2 there exists

$$h(z) \equiv \sum_{k=1}^n (a_k - ib_k)f_k(z)$$

which satisfies (1) on E , let $a = (a_1, b_1, \dots, a_n, b_n)$. If $\lambda = 1$, (1) means: $h(z) = 0$ on E_0 , and $\operatorname{Re} \left[\frac{h(z)}{f(z) - F(z)} \right] > 0$ on $E - E_0$ that is $R(E - E_0) \subseteq \pi(a, 1)$. If $\lambda < 1$, (1) means: $h(z) = 0$ on E_0 , and $\left| \sqrt{1 - \lambda^2} \frac{h(z)}{f(z) - F(z)} - 1 \right| < \lambda$ on $E - E_0$ that is $R(E - E_0) \subseteq \pi(a', \lambda)$ where $a' = \sqrt{1 - \lambda^2} a$.

\Rightarrow : This is proved similarly by working backwards.

THEOREM 2. Given $0 < \lambda \leq 1$ and $f(z) \in B$, suppose $E_0 = \{c_1, c_2, \dots, c_l\} = \{z \in E; f(z) = F(z)\}$ where $0 \leq l < n$. $f(z) \in J_1(\lambda, E) \Leftrightarrow$ there is a set $E_{m+1} \subseteq E - E_0$ of $m + 1$ points, $n - l \leq m \leq 2(n - l)$, such that

$$f(z) \in J_1(\lambda, E_{m+1} \cup E_0).$$

Proof. \Leftarrow : Obvious. \Rightarrow : Assuming to the contrary $f(z) \notin J_1(\lambda, E_{2n-2l+1} \cup E_0)$ for every $E_{2n-2l+1} = \{z_0, z_1, \dots, z_{2n-2l}\} \subseteq E - E_0$, there is by Lemma 3 $a = (a_1, b_1, \dots, a_n, b_n) \in \varepsilon^{2n}$ such that $\sum_{k=1}^n (a_k - ib_k)f_k(z) = 0$ on E_0 and $R(E_{2n-2l+1}) \subseteq \pi(a, \lambda)$. Let $x_j = (\operatorname{Re}f_1(c_j), \operatorname{Im}f_1(c_j), \dots, \operatorname{Re}f_n(c_j), \operatorname{Im}f_n(c_j))$, $y_j = (\operatorname{Im}f_1(c_j), -\operatorname{Re}f_1(c_j), \dots, \operatorname{Im}f_n(c_j), -\operatorname{Re}f_n(c_j)), j = 1, 2, \dots, l$, and for any $b \in \varepsilon^{2n}$ let $H(b) = \{x; (b, x) = 0\}$. Since the vectors $x_1, y_1, \dots, x_l, y_l$ are linearly independent, $H = \bigcap_{j=1}^l H(x_j) \cap H(y_j)$ is $2n - 2l$ dimensional. Let $x(z) \in R(E - E_0)$ be the point associated with $z \in E - E_0$. By our assumption $a \in H \left(\bigcap_{i=0}^{2n-2l} \pi(x(z_i), \lambda) \right)$. Using the well known Helly's theorem on the intersection of convex sets in ε^{2n-2l} (the sets $\pi(x(z), \lambda)$ are convex), there exists $a' = (a'_1, b'_1, \dots, a'_n, b'_n)$ in $H \left(\bigcap_{z \in E - E_0} \pi(x(z), \lambda) \right)$, that is $\sum_{k=1}^n (a'_k - ib'_k)f_k(z) = 0$ on E_0 and $R(E - E_0) \subseteq \pi(a', \lambda)$, meaning by Lemma 3 $f(z) \notin J_1(\lambda, E)$ — a contradiction! We proved the existence of E_{m+1} with $m \leq 2(n - l)$, clearly $m \geq n - l$, since otherwise, by condition Γ , there exists $g(z) \in B$ equal to $F(z)$ on $E_{m+1} \cup E_0$.

REMARKS. a₁) Obviously if E_0 has n points at least, then $f(z) \in J_1(\lambda, E'_0)$ where $E'_0 (\subseteq E_0)$ contains n or more points.

b₁) A similar theorem holds for $J_2(\lambda, E)$.

c₁) If $F(z), f(z), f_k(z) (1 \leq k \leq n)$ are real we obtain $m = n - l$ (since in the proof $R(E - E_0)$ can be embedded in ε^n). This is also true for $J_2(\lambda, E)$.

6. The following theorem is established in [2] for the case $f_k(z) \equiv z^{n-k}$ ($1 \leq k \leq n$) and $E_0 = \emptyset$.

THEOREM 3. Suppose $f(z) \in B$, $E_0 = \{c_1, \dots, c_l\} = \{z \in E; f(z) = F(z)\}$ and $0 \leq l < n$.

(i) $f(z) \in J_1(1, E) \Rightarrow$ there exist — a set $E_{m+1} = \{z_0, \dots, z_m\} \subseteq E - E_0$ where $n - l \leq m \leq 2(n - l)$, constants $\lambda_i > 0$ ($0 \leq i \leq m$) and w_j ($1 \leq j \leq l$), such that

$$(3) \quad \sum_{i=0}^m \lambda_i f_k(z_i) \overline{(F(z_i) - f(z_i))} + \sum_{j=1}^l w_j f_k(c_j) = 0$$

for every $1 \leq k \leq n$.

(ii) If (3) holds for some such E_{m+1} , λ_i , w_j , then $f(z) \in J_1(1, E_{m+1} \cup E_0)$.

Proof. We retain the notations of Theorem 2. (i) By Theorem 2, $f(z) \in J_1(1, E_{m+1} \cup E_0)$ for some such E_{m+1} . By Lemma 3, $a \in H \Rightarrow R(E_{m+1}) \not\subseteq \pi(a, 1)$, that is $CR(E_{m+1}) \cap H(a) \neq \emptyset$, meaning $CR(E_{m+1}) \cap H^\perp \neq \emptyset$ (where $CR(E_{m+1})$ denotes the convex hull of $R(E_{m+1})$, and H^\perp the space orthogonal to H). Therefore there exist constants $\mu_i \geq 0$ $\sum_{i=0}^m \mu_i = 1$, real constants α_j, β_j ($1 \leq j \leq l$) such that $\sum_{i=0}^m \mu_i x(z_i) = \sum_{j=1}^l \alpha_j x_j + \beta_j y_j$. Putting $w_j = \alpha_j - i\beta_j$, $\lambda_i = \mu_i |f(z_i) - F(z_i)|^{-2}$, we obtain (3). At least $n - l$ constants λ_i are positive, since (3) with $m < n - l$ gives (due to condition Γ) $\lambda_i = 0$ and $w_j = 0$ which contradicts $\sum_{i=0}^m \lambda_i > 0$.

(ii) Conversely, (3) means $CR(E_{m+1}) \cap H^\perp \neq \emptyset$, that is

$$a \in H \Rightarrow R(E_{m+1}) \not\subseteq \pi(a, 1),$$

and by Lemma 3 $f(z) \in J_1(1, E_{m+1} \cup E_0)$.

REMARK. Theorem 3 with $f_k(z) \equiv z^{n-k}$, $F(z) \equiv z^n$ and $E_0 = \emptyset$ supplies us with the structure of an infrapolynomial [1]: let $p(z) \equiv z^n + a_1 z^{n-1} + \dots + a_n \neq 0$ on E . $p(z)$ is an infrapolynomial on $E \Leftrightarrow$ there exists $E_{m+1} = \{z_0, z_1, \dots, z_m\} \subseteq E$ with $n \leq m \leq 2n$ for which $p(z)$ is an infrapolynomial \Leftrightarrow there exist $\lambda_i > 0$ $i = 0, 1, \dots, m$ $\sum_{i=0}^m \lambda_i = 1$ such that $\sum_{i=0}^m \lambda_i \prod_{j=0; j \neq i}^m (z - z_j)$ is divisible by $p(z)$.

7. We shall find in this section the structure of $f(z) \in J_3(\lambda, E)$ when $F(z), f_k(z), f(z)$ are real and $\lambda < 1$.

Given $0 < \lambda \leq 1$, an integer n , and a set E , denote by $I_1(\lambda, n, E)$ [$I_2(\lambda, n, E)$] the set of all polynomials $p(z) \equiv z^n + a_1 z^{n-1} + \dots + a_n$ with the property — for no polynomial $q(z) \equiv z^n + \dots$ the inequality $|q(z)| \stackrel{*}{<} \lambda |p(z)|$ [$|q(z)| \leq \lambda |p(z)|$] holds throughout E . Let $I_3(\lambda, n, E) = I_1(\lambda, n, E) - I_2(\lambda, n, E)$.

REMARKS. a₂) It is shown in [3] that when E is compact $I_3(1, n, E) = \emptyset$ (compare with Lemma 1), also by similar methods to Theorem 1

$I_2(1, n, E) \cup_{\lambda < 1} I_3(\lambda, n, E)$ consists of every polynomial of the form $z^n + a_1 z^{n-1} + \dots + a_n$ (for finite E).

b₂) Given $z_0 \in E$, $t(z) \in I_k(\lambda, n, E)$ and $t(z_0) = 0 \Leftrightarrow \frac{t(z)}{z - z_0} \in I_k(\lambda, n - 1, E - \{z_0\})$.

c₂) Presently we shall need the following result (see [4]): If $F(z)$, $f_k(z)$ ($1 \leq k \leq n$) are defined on $E = \{z_0, z_1, \dots, z_n\}$, and if condition Γ holds and $F(z) \notin B$, let $f^{(i)}(z) \in B$ be the function for which $F(z) - f^{(i)}(z)$ vanishes on $E - \{z_i\}$, $i = 0, 1, \dots, n$. Given any $f(z) \in B$ there is a polynomial $p(z) \equiv z^n + \dots$ (or alternately, given any $p(z) \equiv z^n + \dots$ there is $f(z) \in B$) such that

$$(4) \quad p(z_i) \left/ \prod_{\substack{j=0 \\ j \neq i}}^n (z_i - z_j) \right. = (F(z_i) - f(z_i)) / (F(z_i) - f^{(i)}(z_i)), \quad 0 \leq i \leq n.$$

d₂) When $f(z)$ and $p(z)$ are related by (4) on such a set E ,

$$f(z) \in J_k(\lambda, E) \Leftrightarrow p(z) \in I_k(\lambda, n, E).$$

$$I_1(1, n, E) = \left\{ \sum_{i=0}^n \lambda_i \prod_{\substack{j=0 \\ j \neq i}}^n (z - z_j); \lambda_i \geq 0, \sum_{i=0}^n \lambda_i = 1 \right\}$$

so it follows from (4) that

$$J_1(1, E) = \{f(z) \in B; \lambda_i = (F(z_i) - f(z_i)) / (F(z_i) - f^{(i)}(z_i)), \lambda_i \geq 0, \sum_{i=0}^n \lambda_i = 1\}.$$

e₂) When $F(z), f_k(z)$ ($1 \leq k \leq n$) are real over $E = \{z_0, z_1, \dots, z_m\}$ ($m \geq n$), clearly $J_1(1, E)$ consists of real functions only. By c₁ $f(z) \in J_1(1, E_{n+1})$ for some $E_{n+1} = \{z'_0, \dots, z'_n\} \subseteq E$, and d₂ yields a simpler representation than that in Theorem 3.

From now on we limit ourselves to the real case only, that is, E is a finite point set in the real line, $F(x), f_k(x)$ ($1 \leq k \leq n$) are real on E and we restrict B to real functions only. This is necessary as the following results appear to have no simple equivalent generalizations for the complex case.

THEOREM 4. Let $F(x) \notin B$, and suppose $f(x) \in B$ is equal to $F(x)$ on n points at most. For any $E_{n+1} = \{x_0, x_1, \dots, x_n\} \subseteq E$, let

$$\lambda(E_{n+1}) = \left[\sum_{i=0}^n \left| \frac{F(x_i) - f(x_i)}{F(x_i) - f^{(i)}(x_i)} \right| \right]^{-1}$$

where $f^{(i)}(x)$ is defined for E_{n+1} as in c₂ (define $\lambda(E_{n+1}) = 0$ if $F(x_i) = f^{(i)}(x_i)$ for some (i)). Let $\lambda' = \sup\{\lambda(E_{n+1}); E_{n+1} \subseteq E\}$, then $0 < \lambda' \leq 1$ and

- (i) $\lambda' = 1 \Leftrightarrow f(x) \in J_1(1, E)$,
- (ii) $\lambda' < 1 \Leftrightarrow f(x) \in J_3(\lambda', E)$.

Proof. Assume $\lambda' > 1$ and suppose $\lambda' = \lambda(E'_{n+1})$ where $E'_{n+1} = \{x'_0, x'_1, \dots, x'_n\}$. $F(x) \not\equiv \sum_{j=1}^n a_j f_j(x)$ on E'_{n+1} , since otherwise $\lambda(E'_{n+1}) = 0$. Let $p(x) \equiv x^n + b_0 x^{n-1} + \dots + b_n$ be related to $f(x)$ by (4) for the set E'_{n+1} . Let $\omega(x) \equiv \prod_{i=0}^n (x - x'_i)$ then

$$(5) \quad 1 = \sum_{i=0}^n \frac{p(x'_i)}{\omega'(x'_i)} \leq \sum_{i=0}^n \left| \frac{p(x'_i)}{\omega'(x'_i)} \right| = \sum_{i=0}^n \left| \frac{F(x'_i) - f(x'_i)}{F(x'_i) - f^{(b)}(x'_i)} \right| = \frac{1}{\lambda(E'_{n+1})}$$

which contradicts $\lambda(E'_{n+1}) > 1$. $\lambda' > 0$, since $\lambda' = 0 \Rightarrow F(x) \in B!$

(i) \Rightarrow : Let $\lambda' = \lambda(E'_{n+1}) = 1$, using (4) and (5) we have $p(x'_i)/\omega'(x'_i) \geq 0$, and from $d_2 f(x) \in J_1(1, E'_{n+1}) \subseteq J_1(1, E)$.

(i) \Leftarrow : By c_1 there exists E'_{n+1} such that $f(x) \in J_1(1, E'_{n+1})$, using (4) and d_2 , (5) follows with equality everywhere, giving $\lambda(E'_{n+1}) = 1$.

(ii) \Rightarrow : Suppose $\lambda' = \lambda(E'_{n+1})$ and $f(x) \notin J_1(\lambda', E'_{n+1})$, then there exists $g(x) \in B$ such that $|g(x'_i) - F(x'_i)| < \lambda' |f(x'_i) - F(x'_i)| \quad i = 0, 1, \dots, n$. Let (4) relate $r(x) \equiv x^n + \dots$ and $g(x)$ for E'_{n+1} , then

$$\begin{aligned} 1 &= \sum_{i=0}^n \frac{r(x'_i)}{\omega'(x'_i)} \leq \sum_{i=0}^n \left| \frac{r(x'_i)}{\omega'(x'_i)} \right| = \\ &= \sum_{i=0}^n \left| \frac{F(x'_i) - g(x'_i)}{F(x'_i) - f^{(b)}(x'_i)} \right| < \lambda(E'_{n+1}) \sum_{i=0}^n \left| \frac{F(x'_i) - f(x'_i)}{F(x'_i) - f^{(b)}(x'_i)} \right| = 1, \end{aligned}$$

this contradiction implies $f(x) \in J_1(\lambda', E'_{n+1}) \subseteq J_1(\lambda', E)$.

For any $E_{n+1} = \{x_0, \dots, x_n\}$, $f(x) \notin J_2(\lambda(E_{n+1}), E_{n+1})$. This is obvious if $\lambda(E_{n+1}) = 0$. If $\lambda(E_{n+1}) > 0$, let (4) relate $p(x) \equiv x^n + \dots$ and $f(x)$ on E_{n+1} , define

$$r(x) \equiv \sum_{i=0}^n \lambda(E_{n+1}) \left| \frac{p(x_i)}{\omega'(x_i)} \right| \frac{\omega(x)}{x - x_i}, \text{ where } \omega(x) \equiv \prod_{i=0}^n (x - x_i)$$

then $|r(x_i)| = \lambda(E_{n+1}) |p(x_i)|$, meaning $f(x) \notin J_2(\lambda(E_{n+1}), E_{n+1}) (\supseteq J_2(\lambda', E_{n+1}))$. Let

$$C(x) = \{(a_1, \dots, a_n) \in \mathbb{R}^n; \left| \sum_{i=1}^n a_i f_i(x) - F(x) \right| \leq \lambda' |f(x) - F(x)|\},$$

we have $\bigcap_{i=0}^n C(x_i) \neq \emptyset$ for every $E_{n+1} \subseteq E$, and by Helly's theorem (the sets $C(x)$ are convex) $\bigcap_{x \in E} C(x) \neq \emptyset$, so $f(x) \notin J_2(\lambda', E)$. Therefore $f(x) \in J_3(\lambda', E)$.

(ii) \Leftarrow : $J_1(1, E) = J_2(1, E)$, therefore $\lambda' < 1$.

COROLLARY 1. Suppose $f(x) = F(x)$ only on $E_k = \{x_0, x_1, \dots, x_{k-1}\}$ where $0 \leq k < n$, let $0 < \lambda < 1$.

(i) $f(x) \in J_3(\lambda, E) \Rightarrow$ there exist: $E_{n+1} = E_k \cup \{x_k, x_{k+1}, \dots, x_n\}$, constants

$\alpha_j, \beta_j \geq 0$ ($j = k, k + 1, \dots, n$) with $\sum_{j=k}^n \alpha_j = \sum_{j=k}^n \beta_j = 1$ and $\alpha_j \beta_j = 0$ such that

$$(6) \quad f(x_j) - F(x_j) = \frac{(1 + \lambda)\alpha_j - (1 - \lambda)\beta_j}{2\lambda} (f^{(j)}(x_j) - F(x_j)) \quad (k \leq j \leq n).$$

(ii) If (6) is satisfied for such E_{n+1} , α_j , β_j then $f(x) \in J_3(\lambda, E_{n+1})$.

Proof. (i) The existence of such E_{n+1} for which $f(x) \in J_3(\lambda, E_{n+1})$ is assured by c_1 , and by Theorem 4: $\lambda = \left[\sum_{i=0}^n \left| \frac{F(x_i) - f(x_i)}{F(x_i) - f^{(i)}(x_i)} \right| \right]^{-1}$. Define

$\alpha_j = \frac{2\lambda}{1 + \lambda} \left[\frac{F(x_j) - f(x_j)}{F(x_j) - f^{(j)}(x_j)} \right]$ when this ratio is positive, and when it is negative define $\beta_j = -\frac{2\lambda}{1 - \lambda} \left[\frac{F(x_j) - f(x_j)}{F(x_j) - f^{(j)}(x_j)} \right]$ (take $\alpha_j = 0$ [$\beta_j = 0$] when $\beta_j \neq 0$ [$\alpha_j \neq 0$]), representation (6) then follows.

(ii) Calculating $\lambda(E_{n+1})$ of Theorem 4 from (6) we have $\lambda(E_{n+1}) = \lambda < 1$, the rest follows from Theorem 4(ii).

8. We aim to prove here a supplement to Corollary 1:

COROLLARY 2. *With the assumptions of Corollary 1,*

(i) $f(x) \in J_2(\lambda, E) \Rightarrow$ there exist: $E_{n+1} = E_k \cup \{x_k, x_{k+1}, \dots, x_n\}$, constants $\lambda_{ij} > 0$ ($k \leq i \neq j \leq n$) $\sum \lambda_{ij} = 1$ such that

$$(7) \quad f(x_j) - F(x_j) = \sum_{\substack{i=k \\ i \neq j}}^n \frac{(1 + \lambda)\lambda_{ij} - (1 - \lambda)\lambda_{ji}}{2\lambda} (f^{(j)}(x_j) - F(x_j)) \quad (k \leq j \leq n)$$

(ii) If (7) is satisfied for such E_{n+1} , λ_{ij} , then $f(x) \in J_2(\lambda, E_{n+1})$.

The proof of Corollary 2 depends on the following two lemmas of which the first is obvious:

LEMMA 4. *If $C \subseteq \epsilon^n$ is a compact convex set, let $\pi(\mathbf{a}, \lambda) = \{x \in \epsilon^n; |(x, \mathbf{a}) - 1| \leq \lambda\}$ where $0 < \lambda < 1$ and $\mathbf{a} \in \epsilon^n$. $C \not\subseteq \pi(\mathbf{a}, \lambda)$ for every $\mathbf{a} \in \epsilon^n \Leftrightarrow$ the orthogonal projection of C on any line l through O is a closed interval $[(x(l), y(l))]$ with the property: either $O \in [x(l), y(l)]$ or $\frac{d(y(l), O)}{d(x(l), O)} > \frac{1 + \lambda}{1 - \lambda}$ (d denotes the Euclidean metric function).*

LEMMA 5. *Let $A = \{x_0, x_1, \dots, x_n\} \subseteq \epsilon^n$ be an affine independent set. For $0 < \lambda < 1$ and $\pi(\mathbf{a}, \lambda)$ as above, define $x_{ij} = \frac{(1 + \lambda)x_j - (1 - \lambda)x_i}{2\lambda}$ ($0 \leq i \neq j \leq n$), let $F = \{x_{ij}; 0 \leq i \neq j \leq n\}$ and $C(F)$ its convex hull. Then, $O \in \text{int } C(F) \Leftrightarrow A \not\subseteq \pi(\mathbf{a}, \lambda)$ for every $\mathbf{a} \in \epsilon^n$.*

Proof. For any $G \subseteq \varepsilon^n$ denote by $C(G)$ its convex hull.

STATEMENT a: Let $F' = \{x_{ij}; 0 \leq i \neq j < n\}$, the relative interior of $C(F')$ is contained in $\text{int } C(F)$. This is due to x_{n0} and x_{0n} being strictly separated by π — the plane containing F' — whence $\text{relint } C(F') \subseteq \text{int } C(F' \cup \{x_{n0}, x_{0n}\}) \subseteq \text{int } C(F)$. Moreover $A \subseteq \text{int } C(F)$.

STATEMENT b: Let π_1, π_2 be parallel distinct planes supporting $C(A)$ at x_j, x_i respectively. Let π_3 support $C(F)$ so that π_1 separates π_3 and π_2 , then $x_{ij} \in \pi_3$. This is easily verified for $n \leq 3$. Suppose $n > 3$, let $x_{kl} \in C(F) \cap \pi_3$ and assume that $A' = \{x_0, x_1, \dots, x_{n-1}\}$ contains x_i, x_j, x_k, x_l , let the plane π contain A' , and let $F' = \{x_{ij}; 0 \leq i \neq j < n\}$, $\pi'_r = \pi_r \cap \pi$ $r = 1, 2, 3$. Reducing the problem to the $(n - 1)$ dimensional “space” π , the proof is carried out by induction.

STATEMENT c: With the notations of statement b,

$$\frac{d(\pi_3, \pi_2)}{d(\pi_3, \pi_1)} = \frac{d(x_{ij}, x_i)}{d(x_{ij}, x_j)} = \frac{1 + \lambda}{1 - \lambda}.$$

\Leftarrow : Assume $O \notin \text{int } C(F)$. Take π_r ($r = 1, 2, 3$) as in statement b so that π_3 separates O from $C(F)$ and $C(F) \cap \pi_3$ is an $n - 1$ dimensional face of $C(F)$. Pass a line l orthogonal to π_3 through O , let $l \cap \pi_r = x'_r$ ($r = 1, 2, 3$), then $[x'_1, x'_2]$ is the orthogonal projection of $C(A)$ on l , and by statement c

$$\frac{d(\pi_3, \pi_2)}{d(\pi_3, \pi_1)} = \frac{1 + \lambda}{1 - \lambda} = \frac{d(x'_3, x'_2)}{d(x'_3, x'_1)} \geq \frac{d(O, x'_2)}{d(O, x'_1)}$$

which contradicts Lemma 4.

\Rightarrow : Let l be any line through O , let π_r ($r = 1, 2, 3$) be as in statement b and orthogonal to l , let $x'_r = l \cap \pi_r$ ($r = 1, 2, 3$) and suppose $O \in (x'_1, x'_3)$, then $\frac{d(O, x'_2)}{d(O, x'_1)} > \frac{d(x'_3, x'_2)}{d(x'_3, x'_1)}$ (by c) $= \frac{1 + \lambda}{1 - \lambda}$, and according to Lemma 4,

$$a \in \varepsilon^n \Rightarrow \pi(a, \lambda) \not\subseteq C(A).$$

Proof of Corollary 2. (ii)

$$\begin{aligned} & \frac{1}{\lambda(E_{n+1})} = \sum_{i=0}^n \left| \frac{F(x_i) - f(x_i)}{F(x_i) - f^{(i)}(x_i)} \right| = \\ & = \sum_{j=k}^n \left| \sum_{\substack{i=k \\ i \neq j}}^n \frac{(1 + \lambda)\lambda_{ij} - (1 - \lambda)\lambda_{ji}}{2\lambda} \right| < \sum_{j=k}^n \sum_{\substack{i=k \\ i \neq j}}^n \frac{(1 + \lambda)\lambda_{ij} + (1 - \lambda)\lambda_{ji}}{2\lambda} = \frac{1}{\lambda}, \end{aligned}$$

Theorem 4 gives $f(x) \in J_1(\lambda(E_{n+1}), E_{n+1}) \subseteq J_2(\lambda, E_{n+1})$.

(i) By Remark c₁ $f(x) \in J_2(\lambda, E_{n+1})$ for a suitable $E_{n+1} \supseteq E_k$. Let (4) relate $p_n(x) \equiv x^n + \dots$ and $f(x)$ for E_{n+1} , let $p_{n-k}(x) \equiv p_n(x) / \prod_{j=0}^{k-1} (x - x_j)$, by d_2 and b₂ $p_{n-k}(x) \in J_2(\lambda, n - k, E_{n+1} - E_k)$. Let $x_i = (p_{n-k}(x_i))^{-1} (x_i^{n-k-1}, \dots, x_i, 1) \in \varepsilon^{n-k}$ $i = k, k + 1, \dots, n$, and let $\sigma = \{x_k, x_{k+1}, \dots, x_n\}$. As in Lemma 3:

$$p_{n-k}(x) \in I_2(\lambda, n-k, E_{n+1} - E_k) \Leftrightarrow \sigma \not\subseteq \pi(a, \lambda) = \{x \in \varepsilon^{n-k}; |(a, x) - 1| \leq \lambda\}$$

for every $a \in \varepsilon^{n-k}$.

σ is $(n-k)$ -dimensional, for assume it is not so, then the linear hull of σ , $L(\sigma)$ contains the origin; since otherwise the orthogonal projection of $L(\sigma)$ on a line through the origin and orthogonal to $L(\sigma)$ is a single point; and this by Lemma 4, contradicts the result: $\sigma \not\subseteq \pi(a, \lambda)$ for every $a \in \varepsilon^{n-k}$. Therefore, there exist $n-r$ ($\leq n-k$) points in σ , suppose $x_{r+1}, x_{r+2}, \dots, x_n$, and real constants $a_{r+1}, a_{r+2}, \dots, a_n$, not all zero, such that $\sum_{i=r+1}^n a_i x_i = 0$, but this equation has only one solution $a_{r+1} = a_{r+2} = \dots = a_n = 0$, which is a contradiction.

Hence by Lemma 5 $O \in \text{int } C(F)$ (we substitute σ for A and ε^{n-k} for ε^n in the lemma), so if $2\lambda x_{ij} = (1+\lambda)x_j - (1-\lambda)x_i$ ($k \leq i \neq j \leq n$) then there are λ_{ij} as above for which $\sum \lambda_{ij} x_{ij} = O$; consideration of the coordinates and use of the Lagrange interpolation polynomial gives

$$p_{n-k}(x) \equiv \sum_{j=k}^n \left(\sum_{\substack{i=k \\ i \neq j}}^n \frac{(1+\lambda)\lambda_{ij} - (1-\lambda)\lambda_{ji}}{2\lambda} \right) \prod_{\substack{i=k \\ i \neq j}}^n (x - x_i),$$

(7) follows immediately.

9. For every $f(x) \equiv \sum_{i=1}^n a_i f(x) \in B$ we associate here the point $(a_1, a_2, \dots, a_n) \in \varepsilon^n$, then

THEOREM 5. *Under the preliminary conditions of Theorem 4, $J_1(\lambda, E)$ is compact and connected.*

Proof. By $c_1 J_1(\lambda, E) = \cup J_1(\lambda, E_{n+1})$, it is sufficient therefore to establish compactness of $J_1(\lambda, E_{n+1})$: $E_{n+1} = \{x_0, \dots, x_n\}$. According to Theorem 4,

$$\sum_{i=0}^n \left| \frac{F(x_i) - f(x_i)}{F(x_i) - f^{(0)}(x_i)} \right| \leq \frac{1}{\lambda} \Leftrightarrow f(x) \in J_1(\lambda, E_{n+1}),$$

and compactness is now obvious.

Let $f(x) \in J_1(\lambda, E_{n+1})$ and consider the nontrivial case: $F(x) \neq \sum_{k=1}^n a_k f_k(x)$ on E_{n+1} . Let $g_\alpha(x) \equiv \alpha f(x) + (1-\alpha)f^{(0)}(x)$ ($0 \leq \alpha \leq 1$), then

$$\sum_{i=0}^n \left| \frac{F(x_i) - g_\alpha(x_i)}{F(x_i) - f^{(0)}(x_i)} \right| \leq 1 - \alpha + \alpha \sum_{i=0}^n \left| \frac{F(x_i) - f(x_i)}{F(x_i) - f^{(0)}(x_i)} \right| \leq 1 - \alpha + \frac{\alpha}{\lambda} \leq \frac{1}{\lambda}$$

that is $g_\alpha(x) \in J_1(\lambda, E_{n+1})$.

We finish by showing that $J_1(1, E)$ is connected. Let $g_1(x), g_2(x) \in J_1(1, E)$, there are $E_{n+1}^{(i)} = \{x_0^{(i)}, \dots, x_n^{(i)}\}$ ($i=1, 2$) such that $g_i(x) \in J_1(1, E_{n+1}^{(i)})$. Suppose now $E_{n+1}^{(1)} \cap E_{n+1}^{(2)} = \{x'_1, x'_2, \dots, x'_r\}$, let $g(x) \in B$ be the function for which $F(x) - g(x)$ vanishes on $E_{n+1}^{(1)} \cap E_{n+1}^{(2)}$, then $g(x) \in J_1(1, E_{n+1}^{(i)})$ ($i=1, 2$), and since $J_1(1, E_{n+1}^{(i)})$ is convex (deduced from d_2), the proof is established for this case. In general, construct sets $E_{n+1}^{(1)} = F_1, F_2, \dots, F_r = E_{n+1}^{(2)}$ such that $F_i (1 \leq i \leq r)$

has $n + 1$ points exactly, and $F_i \cap F_{i+1}$ has n points exactly, we may now connect $g_1(x), g_2(x)$ through the intermediate sets F_i .

REMARKS. Also it may be verified that $J_2(\lambda, E)$ ($0 < \lambda < 1$) is open, connected, and its closure is $J_1(\lambda, E)$.

In [3] it is shown that $I_1(1, n, E)$ is convex if and only if $n = 0$ or $n = 1$ or E has $n + 1$ points. This is not true in the general case as shown by the following counter example:

$$E = \{x_1, x_2, x_3, x_4\} \quad (x_{i+1} > x_i), \quad F(x_1) = F(x_2) = F(x_3) = 0 \quad \text{and} \quad F(x_4) = 1,$$

and $f_1(x) \equiv x, f_2(x) \equiv 1$. We obtain from Remark d_2 :

$$J_1(1, E) = \bigcup_{i=1}^4 J_1(1, E - \{x_i\}) = J_1(1, E - \{x_2\})$$

which is convex.

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